

<div style="display: flex; justify-content: space-between;"> <div style="text-align: center;"> UTILITY PATENT APPLICATION TRANSMITTAL <small>Only for new nonprovisional applications under 37 CFR 1.53(b))</small> </div> <div style="border: 1px solid black; padding: 2px;"> Attorney Docket No. 990852A </div> <div style="border: 1px solid black; padding: 2px;"> Total Pages </div> </div> <div style="border: 1px solid black; padding: 2px; margin-top: 5px;"> First Named Inventor or Application Identifier </div> <div style="border: 1px solid black; padding: 2px; margin-top: 5px;"> Nobuhiko HAYASHI Takashi KANO </div> <div style="border: 1px solid black; padding: 2px; margin-top: 5px;"> Express Mail Label No. </div>	<div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> APPLICATION ELEMENTS FOR: Semiconductor Device and Method of Fabricating the Same and Method of Forming Nitride Based Semiconductor Layer </div> <div style="width: 50%;"> ADDRESS TO: Commissioner for Patents BOX PATENT APPLICATIONS Washington, D.C. 20231 </div> </div>	
	Check Box, if applicable [] Duplicate	
	1. <input checked="" type="checkbox"/> Fee Transmittal Form (Incorporated within this form) (Submit an original and a duplicate for fee processing)	
	2. <input checked="" type="checkbox"/> Specification, Claims, Abstract Total Pages [54]	
3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) Total Sheets [15]		
4. <input checked="" type="checkbox"/> Oath or Declaration Total Pages [2]		
a. [] Newly executed (original)		
b. <input checked="" type="checkbox"/> Copy from prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed).		
i. [] <u>Deletion of Inventor(s)</u> Signed statement attached deleting inventor(s) named in prior application, see 37 CFR 1.63(d)(2) and 1.33(b).		
5. <input checked="" type="checkbox"/> Incorporation by reference (useable if box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.		
6. [] Microfiche Computer Program (Appendix)		
7. [] Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)		
a. [] Computer Readable Copy b. [] Paper Copy (identical to computer copy) c. [] Statement Verifying identity of above copies		
<u>ACCOMPANYING APPLICATION PARTS</u>		
8. [] Assignment to <u>SANYO ELECTRIC CO., LTD., Osaka-fu, Japan</u> in the prior Application Serial No. <u>09/361,246</u> filed on <u>July 27, 1999</u> has been recorded at Reel <u>010137</u> , Frame <u>0704</u> on <u>July 27, 1999</u> . Please publish the assignee data with the application.		
9. [] 37 CFR 3.73(b) Statement (when there is an assignee) <input checked="" type="checkbox"/> Power of Attorney		

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications
under 37 CFR 1.53(b))

Attorney Docket No. 990852A

First Named Inventor or Application Identifier

Nobuhiko HAYASHI
Takashi KANO

PAGE 2 OF 3

10. ☐ English translation Document (if applicable)

11. ☒ Information Disclosure Statement with PTO-Form 1449

☐ Copies of IDS Citations

12. ☐ Preliminary Amendment

13. ☒ Return Receipt Postcard (MPEP 503)

14. ☐ Small Entity Status is claimed.

15. ☒ Claim for Convention Priority

☐ Certified copy(ies) of Priority Document(s)

a. Priority of **Japanese** application no. **10-213970** filed on **July 29, 1998** is claimed under 35 USC 119.

The certified copy has been filed in prior application Serial No. 09/361,246.

(For Continuing Applications, if applicable).

16. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☒ Division ☐ Continuation-in-part (CIP) of prior application no. ____/____

a. ☒ Please amend the specification by inserting after the title: - - This application is a division of prior application serial no. 09/361,246 filed July 27, 1999- -.

b. ☒ Cancel in this application original claims **4-11, 18-21** of the prior application before calculating the filing fee.

FEE TRANSMITTAL	Number Filed	Number Extra	Rate	Basic Fee
The filing fee is calculated below				\$710.00
Total Claims	9 - 20	0	x \$18.00	
Independent Claims	3 - 3	0	x \$80.00	
Multiple Dependent Claims			\$270.00	
Basic Filing Fee				
Reduction by 1/2 for small entity				
Fee for recording enclosed Assignment			\$40.00	
TOTAL				\$710.00

UTILITY PATENT
APPLICATION TRANSMITTAL

(Only for new nonprovisional applications
under 37 CFR 1.53(b))

Attorney Docket No.

990852A

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Nobuhiko HAYASHI
Takashi KANO

PAGE 3 OF 3

[XX] A check in the amount of \$ 710.00 is enclosed to cover the filing fee.

[] Please charge our Deposit Account No. **01-2340** in the total amount of _____ to cover the filing fee and the _____ assignment recordation fee. A duplicate of this sheet is attached.

[XX] The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. **01-2340**. A duplicate of this sheet is attached.

17 **CORRESPONDENCE ADDRESS:**



23850

PATENT TRADEMARK OFFICE

SUBMITTED BY

Typed or Printed Name: Stephen G. Adrian

Reg. No. 32,878

Signature

Date: September 19, 2001

SGA/meu

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